



Arlington Capital Services, LLC | Form CRS Customer Relationship Summary | June 30, 2020

Arlington Capital Services, LLC (“ACS”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). A registered broker-dealer may charge transaction fees for facilitating securities transactions for its clients.

ACS is not a registered investment advisor. A registered investment advisor may charge their clients an advisory fee, which is calculated as a percentage of the assets managed by the advisor.

It is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We offer private placements of securities, and merger and acquisition services to companies and institutional investors. These transactions typically occur when ACS is working with a client who has engaged us for these services (“Engaged Client”). Our Firm does not offer brokerage services or maintain accounts for the general public and we do not provide ongoing monitoring of retail investors’ investments. We offer our services to such retail investors who are sophisticated, accredited investors and qualified purchasers. We have no discretionary authority over customer assets, and the investor makes the ultimate decision regarding the purchase or sale of investments.

For additional information, please see Regulation Best Interest Disclosures and other applicable documents under the disclosure section on our website www.arlingtoncapitaladvisors.com.

- Key questions to ask your financial professional:

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

WHAT FEES WILL I PAY?

An Engaged Client may become obligated to pay ACS fees, including a success fee if they complete a transaction. If you are not an Engaged Client, then you will not become obligated to pay ACS any fees. However, you should be aware that ACS is most likely being paid a success fee, based upon a previously agreed percentage of an overall valuation, by the counter-party in your securities transaction.

Arlington Capital Services, LLC does not charge a commission or fee to retail investors for private placements of securities, such as the buying or selling of these securities, or transactions involving merger and acquisition services.

If this were to change and ACS did charge a transaction-based fee you should be aware that fees will typically vary for each offering, and would be disclosed in the offering memorandum. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. In the event ACS did charge transaction-based fees, there would be a charge for each transaction. As such the more transactions you make, the more your fees will add up.

Further, a potential conflict exists in that we have incentive for you to engage in transactions. Please make sure you understand what fees and costs you are paying.

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- Key questions to ask your financial professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendation(s) we provide you.

ACS is a wholly owned subsidiary of Arlington Capital Advisors, LLC (“ACA”). Most of the Engaged Clients who work with ACS will originate or be assigned to the Firm from ACA, our affiliate, if an engagement will involve a securities transaction. If you are not the Engaged Client in a securities transaction, ACS is most likely being paid a success fee by the counter-party in your securities transaction.

ACS and ACA share personnel, offices, and expenses. The Firm has adopted procedures designed to supervise its personnel and mitigate potential conflicts of interest and improprieties.

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- Key questions to ask your financial professional:

How might your conflicts of interest affect me, and how will you address them?

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our financial professionals are compensated through salary and bonus, which is determined based on meeting company goals, individual performance, and growth of business; as well as on a deal-by-deal or per transaction basis in the form of discretionary bonuses.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Yes. Visit Investor.gov/CRS and/or <https://brokercheck.finra.org/> for a free and simple search tool to research us and our professionals.

- Key questions to ask your financial professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

ADDITIONAL INFORMATION ABOUT OUR SERVICES

For additional information about our services please visit our website www.arlingtoncapitaladvisors.com, or FINRA’s BrokerCheck website (<https://brokercheck.finra.org/>). If you would like additional, up-to-date information or a copy of this disclosure, please call (205) 488-4380.

- Key questions to ask your financial professional:

Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?